

SOLUTIONS FOR US UNREGISTERED FUNDS

Advisors and fund sponsors face multiple regulatory and business challenges. You need a provider who understands your business and delivers results. We offer a strong track record of excellence in supporting unregistered funds, custody and a consultative, client-focused approach to help you achieve your long-term goals.

WHAT MAKES NORTHERN TRUST DIFFERENT?

We are dedicated to making our clients successful. We believe you shouldn't have to choose between selecting a top-tier provider and getting the attention you deserve. Global Fund Services at Northern Trust exclusively support advisors offering unregistered funds and can help you navigate the different fund vehicle options. Back office, fund administration and custody are central to our business and we've invested accordingly.

We've continued to develop our people and technology. Our unique global operating platform offers immediate access to data and streamlines processes. Our Passport© reporting tools provide you with access to an evolving suite of customizable reports, personalized dashboard pages and flexible export capabilities.

A FULL FUND SERVICES OFFERING

Our Global Fund Services team provides a full suite of services for unregistered funds. With more than three decades of experience supporting established funds and turnkey solutions to facilitate product launches, we can help you achieve your goals.

Custody Services:

- Global safekeeping, account administration and registration
- Trade matching, reconciliation and settlement

Servicing multiple vehicles:

- Collective investment trusts
- Business/statutory trusts
- Group trusts
- LPs
- LLCs

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- Margin/collateral
- Corporate and class actions
- Proxy distribution
- Income and dividend collection
- Tax management
- Foreign exchange

Fund Accounting:

- Trade capture
- Cash/stock reconciliation
- Income and expense accrual
- Corporate action processing
- Price sourcing and validation
- NAV dissemination

Fund Administration:

- Financial reporting
- Treasury and budgeting
- Performance measurement and reporting

Investor Services:

- Investor record keeping and transaction processing
- Partnership allocations
- Regulatory support

In addition to US unregistered funds, we support a full spectrum of registered and unregistered products around the world, in multiple jurisdictions and fund structures.

Contact us

To learn more about Northern Trust's services for mutual funds, please visit northerntrust.com/approach.

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